

Appendix B

DOCUMENTATION FOR NOMINATION OF BOARD OF DIRECTORS MEMBERS

(Attached to the Notice for Shareholders consultation on exercising their right to nominate candidates to replace member of the Board of Directors – elected members' term 2025-2027)

To prepare a tentative list of personnel to be elected to replace members of the Board of Directors (BOD) to submit to the State Bank of Vietnam for approval before election at the 2025 Annual General Meeting of Shareholders, Shareholders/groups of Shareholders nominate, Candidates and people nominated to be members of the BOD (referred to as candidates) are responsible for completing the dossier according to the regulations of the State Bank of Vietnam, specifically as follows.

1. Nomination must be made in writing by the Shareholder/Group of Shareholders. The nomination document of a Shareholder/group of Shareholders has the following contents:
 - a) Full name, ID card/ID card/Passport number (for individuals); Legal entity name and related information (for legal entities); In addition, for convenience in checking, Shareholders can provide information about the number of shares and ABB share ownership ratio of each Shareholder and the entire Shareholder Group;
 - b) Full name, ID card/CCCD/Passport (address, phone number - if any) of the nominee;
 - c) Nominated title;
 - d) Signature of the individual Shareholder/authorized representative of the Shareholder being a legal entity. The signature of the individual Shareholder must be authenticated by the local government/notary practice organization or the shareholder must bring ID card/ID card/Passport to sign the nomination directly at the place where nomination documents are received - Office of the Board of Directors of ABBANK.
 - e) In case the nominating Shareholder is a legal entity, please attach the original or a duly notarized or authenticated copy: Resolution of the Board of Directors approving the nomination of participants to the Supervisory Board of ABBANK, by the person Authorized representative of the legal entity signs.

Shareholders/groups of Shareholders refer to the sample nomination document in **Appendix 3** attached)

2. Candidate's resume according to the form prescribed by the State Bank (**Appendix 1 attached**)
3. Candidate's criminal record card:
 - a) For candidates with Vietnamese nationality: his/her criminal record must be issued by the agency managing criminal record database and contain sufficient information about criminal records (including the criminal records that have been or not been expunged) and prohibition from holding certain positions,

incorporation and management of enterprises and cooperatives (Judicial record form No. 2)

- b) For candidates without Vietnamese nationality: his/her criminal record or another document of equivalent validity (which contains sufficient information about criminal records and prohibition from holding certain positions, incorporation and management of enterprises and cooperatives) must be issued by a Vietnamese competent authority or foreign competent authority as prescribed. In case the criminal record or another document of equivalent validity issued by a foreign competent authority does not contain information about the prohibition from holding certain positions, incorporation and management of enterprises and cooperatives, Shareholder/group of Shareholders that recommends personnel shall give a written explanation that there is no competent authority in the issuing country issuing the criminal record or another document of equivalent validity which contains the required information and give a written commitment to ensure that the proposed personnel is not prohibited from holding certain positions in accordance with regulations of the Law on Credit Institutions;
 - c) The criminal record or another document of equivalent validity prescribed in Point a and Point b of this Clause must be issued by a competent authority at least 06 months before ABBANK submits the application for approval for its provisional list of personnel.
- 4. Copy of candidate's ID card/ID card/Passport.
 - 5. Copies of diplomas proving the candidate's professional qualifications, in which diplomas of Vietnamese nationals issued by foreign educational institutions must be recognized by the Ministry of Education and Training according to the provisions of relevant laws.
 - 6. Declaration of related persons according to the form prescribed by the State Bank (*Appendix 2* attached)
 - 7. Other relevant documents and documents proving that the candidate meets the conditions and standards for personnel expected to hold the position of member of the BOD specified in Point d, Clause 1, Article 41 of the Law on Credit institutions:
 - a) Regarding the requirement “have at least 03 years’ experience of working as a manager or executive of the credit institution”:
 - (i) The credit institution’s charter containing provisions on its managers and executives;
 - (ii) A document, issued by the competent representative of the credit institution where the recommended person has worked or is working, confirming the position and tenure of the recommended person (including confirmation that the recommended person is its manager or executive) or copies of the documentary evidences of the position and tenure of the recommended person at the credit institution.
 - b) Regarding the requirement “have at least 05 years’ experience of working as a manager of a finance, accounting or audit enterprise or another enterprise whose equity is not smaller than the legal capital of a credit institution of corresponding type of business”:

- (i) A copy of the enterprise registration certificate or another document issued by a competent authority to license, certify or approve registration of operations of an enterprise;
 - (ii) The enterprise's charter containing provisions on its manager(s) or its internal regulations if the enterprise's charter does not contain provisions on its manager(s);
 - (iii) Copies of the financial statements, which have been duly audited by an independent audit organization, of the enterprise where the recommended person has acted or is acting as a manager (for cases of another enterprise whose equity is not smaller than the legal capital of a credit institution of corresponding type of business);
 - (iv) A document, issued by the competent representative of the entity where the recommended person has worked or is working, confirming the position and tenure of the recommended person (including confirmation that the recommended person is its manager) or copies of the documentary evidences of the position and tenure of the recommended person at this entity.
- c) Regarding the requirement "have at least 05 years' experience of working in a finance, banking, accounting or audit department": A document, issued by the competent representative of the entity where the recommended person has worked or is working, confirming that the recommended person has worked or is working directly at its finance, banking, accounting or audit department, and his/her working period at such a department, or copies of the documentary evidences of working department of the recommended person and his/her working period at this department.
 - d) Regarding the requirement "have at least 05 years' experience of working in a professional department of a credit institution or FBB": A document, issued by the competent representative of the credit institution or FBB where the recommended person has worked or is working, confirming that the recommended person has worked or is working directly at its professional department, and his/her working period at this department, or copies of the documentary evidences of working department of the recommended person and his/her working period at this department.
8. Documents proving the compliance with "code of professional ethics":
- a) Written assessment of the credit institution or FBB where the recommended person has worked which is made using the form in *Appendix No. 04* attached.
 - b) Where the recommended person has worked for a foreign credit institution that does not provide written assessment and statement of compliance by that recommended person with the "code of professional ethics", the owner (for a wholly foreign-owned bank), or parent bank (for a FBB) or foreign capital-contributing member (for a credit institution that is a multi-member limited liability company) that submits an application for SBV's approval of the recommended personnel list shall provide a written statement of compliance by the recommended person with the "code of professional ethics" using the form in *Appendix No. 05* attached.

9. Candidate profile must ensure the principles according to the regulations of the State Bank, specifically:
 - a) The nomination document and candidate profile must be made in 01 set in Vietnamese. In case the documents in the file are documents issued, notarized or certified by a competent foreign agency or organization, they must be consularly legalized in accordance with the provisions of Vietnamese law (except for cases exemption from consular legalization according to the law on consular legalization) and translated into Vietnamese;
 - b) Translations from foreign languages into Vietnamese must have the translation notarized or the translator's signature authenticated according to the provisions of law.
 - c) Copies of candidates' records and documents must be copies from the original register or certified copies from the originals according to the provisions of law.
 - d) Documents prepared by candidates must have their signatures authenticated according to the provisions of law.